

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor: Igor Y. Botvinnik et al.
Appl. No.: New Application
Filed:
Title: Electro-Kinetic air Transporter and Conditioner
Devices with Insulated Driver Electrodes

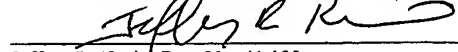
PATENT APPLICATION

Art Unit: New Application
Examiner: New Application

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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 (Attorney Signature)
Jeffrey R. Kurin, Reg. No. 41,132
Signature Date: 11/19/03

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

X Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.

X The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 C.F.R. 1.98(a)(2)(i) posted at <http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/idswouscopies.htm>, copies of cited U.S. patents are not enclosed. However, copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 C.F.R. 1.908(a)(2), as still required.

— The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed.

— **PTA Statement under 37 C.F.R. §1.704(d).** Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

This statement should be considered because:

X **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

___ **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- ___ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).
-- OR --
___ (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

___ **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the issue fee;
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

X **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325.

Respectfully submitted,

Date: 11/19/03

By: Jeffrey R. Kurin
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INFORMATION DISCLOSURE CITATION
BY APPLICANT

Applicant

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Group Art Unit

New Application

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Examiner Initial		Application Number	Filing Date	Inventor	Petition to Expunge	
					Yes	No
	1.	09/669,253	9/25/2000	Taylor et al.		X
	2.	09/924,600	8/8/2001	Taylor et al.		X
	3.	10/074,096	2/12/2002	Taylor et al.		X
	4.	10/074,347	2/12/2002	Taylor et al.		X
	5.	10/074,379	2/12/2002	Taylor et al.		X
	6.	10/278,193	10/21/2002	Reeves		X
	7.	10/405,193	4/1/2003	Taylor		X

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Examiner Initial		Document Number	Publication Date	Country	Translation	
					Yes	No
	8.	EP 0433152 A1	12/10/1990	Europe		✓
	9.	WO00/10713 A1	3/2/2000	International	✓	

FORM PTO-1449 (Substitute)	US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number SHPR-01414US1	Serial No. New Application
INFORMATION DISCLOSURE CITATION BY APPLICANT		Applicant Igor Y. Botvinnik et al.	
		Filing Date	Group Art Unit New Application

	10.	WO 01/47803 A1	7/5/2001	International	✓	
	11.	WO 01/48781 A1	7/5/2001	International	✓	
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	27.	Promotional material available from Zenion Industries for the Plasma-Tron, 2 pages, August 1990.
	28.	LENTEK Silā™ Plug-In Air Purifier/Deodorizer product box copyrighted 1999, 13 pages.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*1 = Copy not submitted because it was submitted in prior application SN _____, filed _____, 20____, relied on under 35 USC §120.
 *2 = Copy not submitted because it was submitted in prior application SN _____, filed _____, 20____, relied on under 35 USC §120.